

# Little Rock Trade and Investment Ltd.

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Date: January 12, 2023

The Calcutta Stock Exchange Ltd  
7- Lyons Range  
Kolkata – 700001

Ref	CSE Scrip Code = 22055 & 10022055
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Sub: Submission of Shareholding Pattern under Regulation 31(1)(b) and Compliance Report on Corporate Governance under Regulation 27(2) of SEBI (Listing obligations & Disclosure Requirements) Regulations 2015 for Quarter ended on December 31, 2022.

Dear Sir,

Please find enclosed herewith -

- Shareholding Pattern for the Quarter ended on December 31, 2022, as per requirement of Regulation 31(1)(b) of SEBI (LOADR) Regulations 2015 (including any modification(s) or amendments thereof), read with other applicable provisions, if any, (*Annexure-I*).
- Corporate Governance for the Quarter ended on December 31, 2022, as per requirement of Regulation 27(2) of SEBI (LOADR) Regulations 2015, read with other applicable provisions if any (*Annexure-II*).

Please acknowledge the receipt

Thanking you

Yours faithfully

For Little Rock Trade & Investment Ltd.

For Little Rock Trade & Investment Ltd.



Mohanan Thrayil

(Director)

Encl: as above.

**Share Holding Pattern as per Regulation 31(I) of the SEBI(Listing Obligations and Disclosure Requirements) Regulations 2015**

1. Name of Listed Entity: **Little Rock Trade and Investment Ltd.**
2. Scrip Code/Name of Scrip/Class of Security: **22055/Equity**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)  
 a. If under 31(1)(b) then indicate the report for Quarter ending: **Regulation 31(1)(b)**  
 b. If under 31(1)(c) then indicate date of allotment/extinguishment: **December 31, 2022**
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-  
**NA**

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4 Whether the Listed Entity has any shares in locked-in?	-	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

**5. The tabular format for disclosure of holding of specified securities is as follows :**

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR,1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Share as held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	5	1135000	0	0	1135000	90.87	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(B)	Public	33	114000	0	0	114000	9.13	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(C1)	Shares underlying DFIs	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	<b>Total</b>	<b>38</b>	<b>1249000</b>	<b>0</b>	<b>0</b>	<b>1249000</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculate d as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Share as held (b)	No. (a)	As a % of total shares held (b)	
								Class X	Class Y	Total								
(1) Indian																		
(a) Individuals/Hindu undivided Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(b) Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(c) Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(d) Any Other (Body Corporate)		5	1135000	0	0	1135000	90.87	0	0	0	0.00	0	0.00	0	0	0	0.00	0
Manarover Commercial Pvt Ltd	AAGCM307D		446700	0	0	446700	35.78	0	0	0	0.00	0	0.00	0	0	0	0.00	0
Pashupathani Commercial Pvt Ltd	AAECP3237D		235500	0	0	235500	18.85	0	0	0	0.00	0	0.00	0	0	0	0.00	0



Sl. No.	Name of Shareholder	PAN (U)	No. of shares held	No. of equity shares held (V)	Partly paid-up equity shares held (V)	Total no. shares held (VII = (V) + (VI))	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C)	No. of Voting Rights			Total as a % of Voting Rights of Total	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % of number of all convertible securities (X) + (XI) as a % of total shares held (XII)	Number of Locked in shares (XIII)	Number of shares pledged or otherwise encumbered (XIV)	Number of equity dematerialized form shares held in depository (XV)
								Class X	Class Y	Total						
(a)	Public Shareholding		0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0
(b)	Sub-Total (B)(3)		33	33	33	33	9.13	0	0	0	0	0.00	0	0	0	0
(c)	Any Other (specify)		0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0
(d)	Officers/Depositories (including DRs) (Indebted figures)		0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0
(e)	Employee Trusts		0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0
(f)	MFIs registered with RBI		0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0
(g)	Small Co. Share		33000	33000	33000	33000	8.57	0	0	0	0	0.00	0	0	0	0
(h)	Shareholding by Depositories		22880	22880	22880	22880	8.57	0	0	0	0	0.00	0	0	0	0
(i)	Public Shareholding (C) = (C1)+(C2)		33	33	33	33	9.13	0	0	0	0	0.00	0	0	0	0
(j)	Sub-Total (B)(2)		33	33	33	33	9.13	0	0	0	0	0.00	0	0	0	0
(k)	Public Shareholding (C) = (C1)+(C2)		0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0
(l)	Sub-Total (B)(2)		0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0

Details of Shares which remain unvested may be given here along with details such as number of shareholders, outstanding shares held in dematerialized suspense account, voting rights which are frozen etc.

Name: \_\_\_\_\_

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following person: **Public Shareholding** (the name may be disclosed in the respective column to the extent information available and the balance to be disclosed as held by category).

(3) If the information pertains to Depository Receipts, the name may be disclosed in the respective column to the extent information available.

Sl. No.	Name of Shareholder	PAN (U)	No. of shares held	No. of equity shares held (V)	Partly paid-up equity shares held (V)	Total no. shares held (VII = (V) + (VI))	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C)	No. of Voting Rights			Total as a % of Voting Rights of Total	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % of number of all convertible securities (X) + (XI) as a % of total shares held (XII)	Number of Locked in shares (XIII)	Number of shares pledged or otherwise encumbered (XIV)	Number of equity dematerialized form shares held in depository (XV)
								Class X	Class Y	Total						
(1)	Shareholder		0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0
(2)	Employee Benefit Trust (under SEBI (Share based Employees Benefit) Regulations, 2014)		0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0
(3)	Total Non Promoter - Non Public Shareholding (C) = (C1)+(C2)		0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following person: **Public Shareholding** (the name may be disclosed in the respective column to the extent information available).

(3) If the information pertains to Depository Receipts, the name may be disclosed in the respective column to the extent information available.

Name: \_\_\_\_\_

Date: 12-01-2023

For Little Rock Trade and Investment Ltd. (Director)

Mohanan Thrayil